### barchart

# NORTHWEST BIOTHERAPEUTICS INC

#### **FORM DEFA14A**

(Additional Proxy Soliciting Materials (Definitive))

#### Filed 12/23/22

Address 4800 MONTGOMERY LANE, BETHESDA, MD, 20814

Telephone (727) 384-2323

CIK 0001072379

Symbol NWBO

SIC Code 2834 - Pharmaceutical Preparations

Fiscal Year 12/31

#### Powered by **barchart**

<u>https://www.barchart.com/solutions</u>
© Copyright 2022, Barchart.com, Inc. All Rights Reserved.

## As filed with the Securities and Exchange Commission on December [XX], 2022

#### **SCHEDULE 14A INFORMATION**

Proxy Statement Pursuant to Section 14(a) of the Securities Exchange Act of 1934 (Amendment No.)

Filed by the Registrant x

Filed by a Party other than the Registrant "

Check the appropriate box:

- " Preliminary Proxy Statement
- Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
- " Definitive Proxy Statement
- x Definitive Additional Materials
- " Soliciting Material Pursuant to §240.14a-12

#### Northwest Biotherapeutics, Inc.

(Name of Registrant as Specified in its Charter)

(Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- x No fee required.
- " Fee paid previously with preliminary materials.
- Fee computed on table in exhibit required by Item 25(b) per Exchange Act Rules 14a-6(i)(1) and 0-11.

## NORTHWEST BIOTHERAPEUTICS, INC. STATEMENT REGARDING ACCESS TO AUDIO-ONLY CONNECTION FOR THE ANNUAL MEETING OF STOCKHOLDERS TO BE HELD ON DECEMBER 30, 2022

Northwest Biotherapeutics, Inc. (the "Company") is filing this supplemental disclosure to advise stockholders on how they may connect from a remote location through an audio-only link to the Annual Meeting of Stockholders to be held on Friday, December 30, 2022 at 1:30 p.m. Eastern Time (the "Annual Meeting"). Stockholders who cannot attend the Annual Meeting in person will need to vote prior to the meeting as the audio-only link will not enable voting during the meeting.

The Annual Meeting may be accessed by stockholders and guests in audio-only mode by accessing <a href="https://gibsondunn.zoom.us/j/96658488330?pwd=S3InRHVUQ1ZyUFJMNnVxZCs3QWdwZz09">https://gibsondunn.zoom.us/j/96658488330?pwd=S3InRHVUQ1ZyUFJMNnVxZCs3QWdwZz09</a> and entering passcode: 113191.

Alternatively, the Annual Meeting may be accessed telephonically by dialing (213) 338-8477 or (619) 990-6833 and entering webinar ID: 966 5848 8330 and passcode: 113191.

Following the Annual Meeting, there will be an informal discussion period as time permits. Stockholders may submit written questions to the Company prior to 6:00 p.m. Eastern Time on Tuesday, December 27, 2022.

The Company strongly encourages its eligible stockholders to vote and submit their proxies in advance of the Annual Meeting by methods described in the proxy materials distributed to stockholders on or about December 5, 2022. If stockholders have already voted, no additional action is required.

Additional details regarding the Annual Meeting and the matters to be presented to stockholders at the Annual Meeting are available in the Company's definitive proxy statement, filed with the Securities and Exchange Commission on December 5, 2022. Stockholders

also access the definitive proxy statement and other proxy materials by visiting: http://www.edocumentview.com/N						