



STAAR SURGICAL CO

FORM 8-K

(Current Report Filing)

Filed 11/16/23 for the Period Ending 11/16/23

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Telephone (727) 384-2323
CIK 0000718937
Symbol STAA
SIC Code 3851 - Ophthalmic Goods
Fiscal Year 12/30

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549**

FORM 8-K

**CURRENT REPORT
Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of
1934**

**Date of report (Date of earliest event reported): November 16,
2023**

**STAAR Surgical Company
(Exact Name of Registrant as Specified in
Charter)**

Delaware
(State or Other Jurisdiction
of Incorporation)

0-11634
(Commission File Number)

95-3797439
(IRS Employer
Identification No.)

25651 Atlantic Ocean Drive
Lake Forest, California
(Address of Principal Executive Offices)

92630
(Zip Code)

Registrant's Telephone Number, Including Area Code: 626-303-7902

Not Applicable
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communication pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communication pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class
Common

Trading Symbol(s)
STAA

Name of each exchange on which registered
NASDAQ

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 7.01 Regulation FD Disclosure.

Representatives of STAAR Surgical Company (the “Company”) will give presentations to investors commencing on November 16, 2023. A copy of the slide presentation they will share as well as the press release announcing the investor meetings is furnished as Exhibit 99.1 to this Report and is incorporated herein by this reference.

This information and the information contained in the presentation and press release shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section. The information in Item 7.01 of this Current Report, and Exhibit 99.1 is not incorporated by reference into any filings of STAAR made under the Securities Act of 1933, as amended, whether made before or after the date of this Current Report, regardless of any general incorporation language in the filing unless specifically stated so therein.

Item 9.01 Financial Statements and Exhibits

<u>Exhibit No.</u>	<u>Description</u>
99.1	Slide presentation dated November 2023.
104	Cover Page Interactive Data File (embedded within the Inline XBRL document).

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

STAAR Surgical Company

November 16, 2023 By: /s/ Tom Frinzi

Thomas G. Frinzi

President and Chief Executive Officer
